

3Q25

BNY Pershing At a Glance

► **BNY** | PERSHING

By the Numbers

SEPTEMBER 30, 2025

BNY

\$57.8T

*assets under custody
and/or administration*

BNY PERSHING

\$2.1T

*assets under
management*

\$3.0T

in global client assets¹

\$2.0B

net capital²

Many of the world's most sophisticated and successful financial services firms have chosen BNY Pershing for 85 years, and continue to choose us today.

Additional information is available at bny.com/pershing.

At BNY Pershing, we live our Be Client Obsessed and Be More for our Clients principles every day. We take a 360-degree view to help you transform your business, spark growth, maximize efficiency and navigate the complexities of risk and regulation.

Whether you operate in the wealth or institutional segment, you unlock the power of scale by partnering with a single strategic provider delivering solutions across clearing and custody, trading and settlement, a broad suite of investment offerings, middle- and back-office support, data insights and business consulting.

By combining high-touch service with an open digital platform and the full strength of the BNY enterprise, we deliver a differentiated experience tailored to each client. In an ever-evolving marketplace, we've been trusted, tested and proven to protect your interests while powering you with innovative solutions. Leaders choose BNY Pershing because we lead in ours—so you can lead in yours.

¹ Pershing LLC and its global affiliates

² Pershing LLC

Fast Facts

OVER

100,000

Professionals use our technology platform for advisors and broker-dealers

WE SERVE APPROXIMATELY

1,000+

Clients in 30 countries

WE SERVE OVER

8M

Global investor accounts

We provide clearing and custody in **64 markets**, execution in over **60 markets globally** and facilitate the trading and conversion of **46 currencies**.

BNY WAS FOUNDED BY

ALEXANDER HAMILTON

The members of our highly knowledgeable and skilled management team provide stable leadership averaging **more than a decade** with BNY Pershing.

BNY PERSHING WAS FOUNDED BY THE SON OF WWI GENERAL

JOHN J. PERSHING

In 1939

Industry Awards and Recognition

HEDGEWEEK

BNY Pershing was awarded Prime Broker of the Year: Overall at the Hedgeweek US Awards (2025).

LAROCHE

BNY Pershing was again named the #1 U.S. clearing firm, based on the number of broker-dealer clients, by LaRoche Research Partners LLC (2025).

DALBAR

For the seventh consecutive year, BNY Pershing achieved the #1 ranking for brokerage statements in DALBAR's annual Trends & Best Practices in Investor Statements, achieving an all-time high time score. This is the eighteenth consecutive year that our brokerage account statement has received the "Excellent" rating from DALBAR, an independent firm that identifies best-in-class communications that assist clients in understanding and managing investments (2025).

HFM

BNY Pershing was named "Best Prime Broker - Capital Introduction" at the HFM US Services Awards (2024).

GLOBAL CUSTODIAN

Global Custodian awarded BNY Pershing the Excellence in Prime Brokerage Operations award (2024).

GLOBAL FINANCE

Global Finance magazine named Wove one of the World's Best Innovations calling it a "ground-breaking wealth management platform." (2024)

CELENT

Wove has been recognized by Celent's Model Wealth Manager Awards in the "Streamlining Advisor Workflows" category. (2024)

PRIVATE ASSET MANAGEMENT

BNY Pershing won DE&I Initiative of the Year from Private Asset Management (PAM) for its Minority Business Enterprise Advisory Client Council program. PAM recognizes industry leaders and innovators (2023).

DATOS INSIGHTS

BNY Pershing X received an Impact Award in the Technology Transformation category, which recognizes financial institutions that stand out for leveraging technology to innovate and surpass the status quo with new products, capabilities and levels of automation. (2023)

Strengthen Your Success With Our Solutions

Let us help you plan for the impact of changing markets and regulations.

Maximize new wealth management and investment strategies to improve profitability and drive business growth.

Leverage emerging technology and big data to create efficient processes and capability, and drive decisions.

Leverage the strength and scale of BNY for financing, collateral management and global trade execution.

Insights

For our latest commentary and actionable insights on industry trends and topics of interest, visit the Insights section on [bny.com/pershing](https://www.bny.com/pershing). Recent highlights include:

FUTURE-PROOFING YOUR FIRM: KEY LESSONS FROM FAST GROWING RIAs

In wealth management, sustainable growth hinges on preserving your firm's core values. Leading RIAs scale with integrity by fostering a cohesive culture, empowering every team member, leveraging adaptable technology, and developing future leaders. By letting strategic priorities—not ego—drive acquisitions versus organic growth, firms can expand responsibly, elevate client service, and build lasting foundations.

5 WAYS WEALTH ADVISORS CAN BEGIN TO ADOPT AI NOW

As AI transforms financial services, Carnegie Mellon University's Zico Kolter urges practitioners to adopt it now—experiment with its strengths and limits, build human-machine workflows, and earn trust through performance—so they can turn uncertainty into competitive advantage and prepare for the next innovation wave.

TOMORROW'S TECH TODAY: HOW CLIENT EXPECTATIONS ARE DRIVING INNOVATION

For billion-dollar RIAs and enterprise wealth managers, digital relevance means delivering the seamless, personalized experiences clients expect from ecommerce. Achieving that requires an integrated technology strategy – embedding digital touchpoints in advisor-led interactions, backed by robust data infrastructure, disciplined AI adoption, and seamless system integration. In a digital-first world, firms that master this will prevail.

AI IN FINANCIAL SERVICES AND RETAINING THE HUMAN ELEMENT OF ADVICE

AI is overhauling investing, and wealth firms must use it not only to automate admin tasks but to deepen humanized client relationships. Clients now expect personalized, predictive—and still deeply human—experiences. AI can flip advisors' current 80/20 split of back-office work versus client time, but realizing its promise hinges on rigorous data management, transparency, and responsible stewardship. The solution is a hybrid model that pairs AI-driven efficiency with human empathy and strategic insight.

THINKING BIGGER TO ACHIEVE BIG: ADOPTING THE 10X MINDSET IN WEALTH MANAGEMENT

In a market of rapid consolidation, rising client demands, and relentless cost pressures, incremental gains aren't enough for enterprise wealth managers. Adopting a "10X mindset"—setting goals ten times bolder than usual—forces a fresh look at client service, talent development and scalable operations. By pairing audacious targets with clear ownership, strategic alignment and disciplined execution—blending organic innovation with targeted M&A, expanding metrics beyond AUM, and harnessing technology as a true force multiplier—firms can unlock exponential growth.

ABOUT BNY PERSHING

BNY Pershing (member FINRA, NYSE, SIPC) is a leading provider of clearing and custody, trading and settlement, advisory and investment solutions, data insights, business consulting and other services to wealth management and institutional firms looking to grow their businesses. For more information, go to: www.bny.com/pershing.

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The use of the firm's platforms and technology may be affected by factors such as trading volume, market conditions, system performance and/or other factors. BNY Pershing actively monitors the technology environment and endeavors to resolve issues promptly through various means.

bny.com/pershing

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